



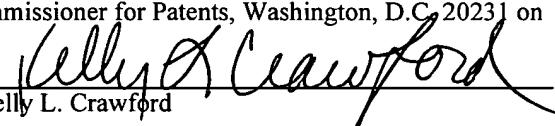
Attorney's Docket No. E0295/7139 RAS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Steven M. Blumenau, et al.  
Serial No.: 09/751,684  
Filing date: December 29, 2000  
For: METHOD AND APPARATUS FOR MANAGING ACCESS TO STORAGE  
DEVICES IN A STORAGE SYSTEM WITH ACCESS CONTROL  
Examiner: Not yet assigned  
Art Unit: 2171

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the 16th day of April, 2001.

  
\_\_\_\_\_  
Kelly L. Crawford

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

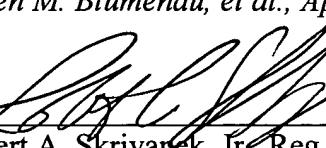
Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

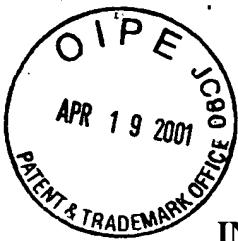
In response to the Notice to File Missing Parts mailed February 15, 2001, enclosed is a check in the amount of \$1,908.00 in payment of the filing fee (\$710.00), additional claim fees (\$1,068.00) and surcharge (\$130.00). Enclosed also is a signed Declaration.

Please note: Since April 15, 2001, fell on a Sunday, this Response is considered timely filed.

Respectfully submitted,  
*Steven M. Blumenau, et al., Applicants*

By:   
\_\_\_\_\_  
Robert A. Skrivanek, Jr., Reg. No. 41,316  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel. (617) 720-3500

Date: April 16, 2001  
x04/15/01x



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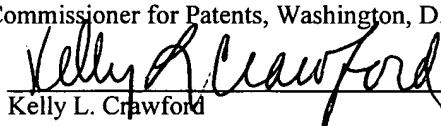
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Kelly L. Crawford

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

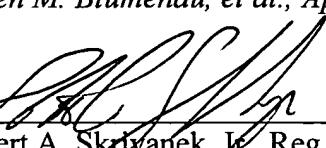
Transmitted herewith are the following documents:

- Response to Notice to File Missing Parts
- Copy of Notice to File Missing Parts
- Declaration
- Return receipt postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

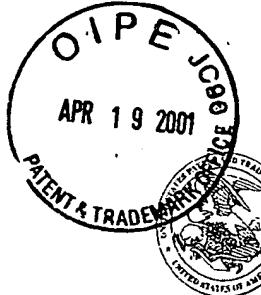
A check in the amount of \$1,908.00 is enclosed in payment of the fees as set forth on the Notice. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed. Please note: Since April 15, 2001, fell on a Sunday, this Response is considered timely filed.

Respectfully submitted,  
*Steven M. Blumenau, et al., Applicants*

By:   
\_\_\_\_\_  
Robert A. Skrivanek, Jr., Reg. No. 41,316  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel. (617) 720-3500

Date: April 16, 2001  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,684	12/29/2000	Steven M. Blumenau	E0295/7139

## CONFIRMATION NO. 9139

## FORMALITIES LETTER



\*OC000000005767322\*

Robert A. Skrivanek  
 Wolf, Greenfield & Sacks, P.C.  
 600 Atlantic Avenue  
 Boston, MA 02210

Date Mailed: 02/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1068.
  - \$828 for 46 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1908.

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710.00  
130.00  
240.00  
828.00

4/20/2001 0900053 09751684

01 FC101  
02 FC105  
03 FC102  
04 FC103

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE